

# Fast Computation of 3-D Geometric Moments Using a Discrete Gauss' Theorem

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**Abstract.** A discrete Gauss' theorem is presented. Using a fast surface tracking algorithm and the discrete Gauss' theorem, we design a new method to compute the Cartesian geometric moments of 3-D objects. Compared to previous methods to compute such moments, the new method reduces the computational complexity significantly.

## 1 Introduction

In a binary 3-D voxel image, the  $(p+q+r)$ 'th order Cartesian geometric moment of a 3-D object is defined as

$$m_{pqr} = \sum \sum \sum_R x^p y^q z^r \quad (1)$$

which is a sum of monomials over a discrete 3-D region  $R$ . The 3-D moments have been successfully used in 3-D image analysis [1, 7, 8]. But the application is limited due to the computational complexity. To calculate all the moments with order  $0 \leq p+q+r \leq K$ , a straightforward method needs additions and multiplications of  $O(K^3 N^3)$ . (Assume that the object is represented by an  $N \times N \times N$  voxel image). Some fast computation methods have been proposed [1, 4, 6]. These methods have reduced the number of multiplications and additions, but still require computation of  $O(N^3)$ .

In this paper, we introduce a discrete Gauss' theorem. Corresponding to Gauss' original theorem for continuous functions, the discrete Gauss' theorem is used to compute a sum of a function over a 3-D discrete region by a summation over the enclosing, discrete surface. Using surface tracking [2] and the discrete Gauss' theorem, we design a new method to compute the 3-D moments of 3-D objects. The new method reduces the computational complexity significantly, requiring computation of  $O(N^2)$ .

## 2 Discrete Gauss' Theorem

The original Gauss' theorem relates a surface integral over a closed surface in 3-D to a triple integral over the enclosed region. To deal with the problems in digital image analysis, we introduce a discrete version of Gauss' theorem, which relates a sum of a function over a discrete, closed surface to a sum of the function

over the enclosed discrete region. The discrete Gauss' theorem is necessary since applying the original Gauss' theorem directly to digital images may result in considerable errors [9, 10].

First we define some concepts: An object region  $R$  in a discrete 3-D space is a finite subset of  $\mathbb{Z}^3$ . The complement of a 3-D object is called background. Assume that each element in the discrete 3-D space is a cubic voxel with six faces. A voxel face is called a background-to-object transition if the face is shared by a background and an object voxel. The discrete boundary (or the surface)  $T$  of an object  $R$  is all the background-to-object transitions enclosing the object  $R$ . A background-to-object transition is associated with a position  $(x, y, z)$  and a direction  $(\Delta x, \Delta y, \Delta z)$ . Let  $(x_1, y_1, z_1)$  be the background and  $(x_2, y_2, z_2)$  be the object voxel corresponding to a transition. We define that  $(x, y, z) = (\min(x_1, x_2), \min(y_1, y_2), \min(z_1, z_2))$ ,  $\Delta x = x_2 - x_1$ ,  $\Delta y = y_2 - y_1$  and  $\Delta z = z_2 - z_1$ . The direction defined in this way is normal to the surface and points towards the object. The discrete Gauss's theorem is then:

**Discrete Gauss' Theorem** Let  $f(x, y, z)$  be a real function defined on  $\mathbb{Z}^3$  that has nonzero values only on a discrete 3-D region  $R$ . Let  $T$  be the set of all the background-to-object transitions enclosing the region  $R$ . Then we have

$$\sum_R \sum \sum f(x, y, z) = - \sum_T \sum F_x(x, y, z) \Delta x \quad (2)$$

$$\sum_R \sum \sum f(x, y, z) = - \sum_T \sum F_y(x, y, z) \Delta y \quad (3)$$

$$\sum_R \sum \sum f(x, y, z) = - \sum_T \sum F_z(x, y, z) \Delta z \quad (4)$$

where  $F_x$  is defined by

$$F_x(x, y, z) = \sum_{i=x_0}^x f(i, y, z) \quad (5)$$

in which  $x_0$  is an integer satisfying  $(x, y, z) \in R \Rightarrow x_0 < x$ .  $F_y$  and  $F_z$  are defined in the same way.

*Proof.* Assuming that the object  $R$  consists of line segments parallel to the  $x$ -axis, and that the  $x$ -coordinates of the start point and the end point of the line segment  $l$  are  $x_1(l)$  and  $x_2(l)$ . Eq. (2) is proved as follows:

$$\begin{aligned} \sum_R \sum \sum f(x, y, z) &= \sum_l \sum_{x=x_1(l)}^{x_2(l)} f(x, y, z) \\ &= \sum_l \sum (F_x(x_2(l), y, z) - F_x(x_1(l) - 1, y, z)) \\ &= - \sum_T \sum F_x(x, y, z) \Delta x \end{aligned}$$

Eqs. (3) and (4) can be proved similarly. □

The discrete Gauss's theorem shows that a triple sum may be reduced to a double sum if  $F_x$ ,  $F_y$  or  $F_z$  can be expressed in a closed form. However, to reduce computational complexity from  $O(N^3)$  to  $O(N^2)$ , one must have an  $O(N^2)$  algorithm to detect the boundary of a 3-D region. Fortunately, such fast surface tracking algorithms exist. The algorithm proposed by Gordon and Udupa [2] is one of the most efficient surface tracking algorithms reported in the literature. Given an array of voxels and one of the background-to-object transitions, the algorithm produces a list of all the transitions which are connected to the given transition. On the average, one-third of the transitions will be visited twice, and the rest once.

### 3 3-D Moment Computation

Using the discrete Gauss's theorem, we design a new method to compute the geometric moments of 3-D objects represented by voxel images. Substituting  $f(x, y, z) = x^p y^q z^r$  into Eq. (2) and setting  $x_0 = 0$ , we have

$$m_{pqr} = \sum_T \sum_{i=0}^x i^p y^q z^r \Delta x \quad (6)$$

Rewriting the partial sum of the power series, we obtain

$$m_{pqr} = \sum_T \sum \left( \frac{x^{p+1} y^q z^r}{p+1} + \frac{x^p y^q z^r}{2} + \sum_{j=2}^p \frac{1}{j} C_p^{j-1} B_j x^{p-j+1} y^q z^r \right) \Delta x \quad (7)$$

where  $C_p^{j-1}$  is a binomial coefficient defined by  $C_p^s = p! / s!(p-s)!$ , and  $B_j$  is the  $j$ 'th Bernoulli number. Let

$$u_{pqr} = \sum_T \sum x^{p+1} y^q z^r \Delta x \quad (8)$$

Then Eq. (7) becomes

$$m_{pqr} = \frac{u_{pqr}}{p+1} + \frac{u_{p-1,q,r}}{2} + \sum_{j=2}^p \frac{1}{j} C_p^{j-1} B_j u_{p-j,q,r} \quad (9)$$

This is our formula for 3-D moment computation, obtained from Eq. (2) of the discrete Gauss's theorem. Similar formulas can be derived from Eqs. (3) and (4). We see that the moment  $m_{pqr}$  can be computed by  $O(N^2)$  operations with this formula, in which  $m_{pqr}$  is a linear combination of  $u_{jqr}$  for  $j = 0, \dots, p$  and  $u_{jqr}$  is a sum over the boundary  $T$ . The moments of order up to three, which are often used in applications, can be computed as

$$\begin{bmatrix} m_{0qr} \\ m_{1qr} \\ m_{2qr} \\ m_{3qr} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 1/2 & 1/2 & 0 & 0 \\ 1/6 & 1/2 & 1/3 & 0 \\ 0 & 1/4 & 1/2 & 1/4 \end{bmatrix} \begin{bmatrix} u_{0qr} \\ u_{1qr} \\ u_{2qr} \\ u_{3qr} \end{bmatrix} \quad (10)$$

To calculate  $u_{pqr}$  with  $0 \leq p + q + r \leq K$ , a straightforward method needs additions and multiplications of  $O(K^3 N^2)$ . We propose a more efficient method to compute this value. Recall that a background-to-object transition is associated with a position coordinate  $(x, y, z)$ . For a given pair of  $(y, z)$ , we have a set of transitions. We denote the set by  $T(y, z)$  and define  $v_p(y, z)$  as

$$v_p(y, z) = \sum_{T(y, z)} x^{p+1} \Delta x \quad (11)$$

Comparing Eq. (8) with Eq. (11), we have

$$u_{pqr} = \sum_y \sum_z v_p(y, z) y^q z^r \quad (12)$$

which means that  $u_{pqr}$  is the  $(q + r)$ 'th order moment of a 2-D gray level image with intensity function  $v_p(y, z)$ .

Hatamian [3] proposed a method for fast computation of the geometric moments of a 2-D gray level image. This method uses a digital filter which we call the Hatamian filter. To compute the moments of a 2-D image, we first filter the image in one direction and then the other direction. Letting  $v_p^0(y, z)$  be the result of the Hatamian filtering of  $v_p(y, z)$  in the  $y$ -direction, we have

$$v_p^0(y, z) = \sum_{k=y}^N v_p(k, z) \quad (13)$$

Applying the Hatamian filter recursively in the  $y$ -direction, we obtain

$$v_p^q(y, z) = \sum_{k=y}^N v_p^{q-1}(k, z) \quad (14)$$

Then we filter the image  $v_p^q(y, z)$  in the  $z$ -direction, and obtain

$$v_p^{q0}(y, z) = \sum_{k=z}^N v_p^q(y, k) \quad (15)$$

Applying the filter recursively in the  $z$ -direction gives

$$v_p^{qr}(y, z) = \sum_{k=z}^N v_p^{q, r-1}(y, k) \quad (16)$$

The 2-D moments  $u_{pqr}$  are then obtained as a linear combination of  $v_p^{ij}(1, 1)$  for  $i = 0, \dots, q$  and  $j = 0, \dots, r$ . In vector notation, we have

$$\mathbf{u}_p = \mathbf{M} \mathbf{v}_p \quad (17)$$

For  $\mathbf{u}_p = [u_{p00}, u_{p01}, u_{p02}, u_{p03}, u_{p10}, \dots, u_{p33}]^T$  and  $\mathbf{v}_p = [v_p^{00}(1, 1), v_p^{01}(1, 1), v_p^{02}(1, 1), v_p^{03}(1, 1), v_p^{10}(1, 1), \dots, v_p^{33}(1, 1)]^T$  the transform matrix  $\mathbf{M}$  is

$$\mathbf{M} = \begin{bmatrix} \mathbf{M}_0 & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_0 & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & -\mathbf{M}_0 & 2\mathbf{M}_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_0 & -6\mathbf{M}_0 & 6\mathbf{M}_0 \end{bmatrix} \quad \text{where} \quad \mathbf{M}_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & -1 & 2 & 0 \\ 0 & 1 & -6 & 6 \end{bmatrix}$$

Using the above method, we give an algorithm for the fast computation of 3-D moments:

**INPUT** A list of background-to-object transitions is obtained by a surface tracking algorithm [2]. For each of the transitions, a position coordinate  $(x, y, z)$  and the direction component  $\Delta x$  are recorded.

**OUTPUT** A list of geometric moments  $m_{pqr}$  for  $0 \leq p + q + r \leq K$ .

**DATA STRUCTURE**  $(K + 1)$  integer arrays of size  $N \times N$  and one integer array of size  $N$ , all initialized to zero. They are used to store  $v_p^{qr}(y, z)$ . The reuse of the storage has been considered.

**ALGORITHM**

1. for all transitions when  $\Delta x \neq 0$  do
  - for  $p = 0, \dots, K$  do
    - if  $\Delta x = -1$  then  $v_p(y, z) = v_p(y, z) - x^{p+1}$
    - if  $\Delta x = 1$  then  $v_p(y, z) = v_p(y, z) + x^{p+1}$
2. for  $p = 0, \dots, K$  do
  - for  $q = 0, \dots, K - p$  do
    - compute  $v_p^q(y, z)$  from  $v_p(y, z)$  by the Hatamian filtering
    - for  $r = 0, \dots, K - p - q$  do
      - compute  $v_p^{qr}(1, z)$  from  $v_p(1, z)$  by the Hatamian filtering
3. compute the moment  $m_{pqr}$  as a linear combination of  $v_p^{ij}(1, 1)$  for  $i = 0, \dots, q$  and  $j = 0, \dots, r$  given by Eqs. (10) and (17)

For a convex object in an  $N \times N \times N$  image, there are at most  $2N^2$  transitions of which  $\Delta x \neq 0$ . Assume that the number of such transitions is  $2N^2$ . Then in step 1 we need  $2KN^2$  multiplications and  $2(K + 1)N^2$  additions. In step 2 we need  $(\frac{1}{2}K^2 + \frac{3}{2}K + 1)N^2$  additions for all the  $y$ -directional filterings and  $(\frac{1}{6}K^3 + K^2 + \frac{11}{6}K + 1)N$  additions for all the  $z$ -directional filterings. So, our algorithm is of  $O(N^2)$ , requiring  $O(2KN^2)$  multiplications and  $O(\frac{1}{2}K^2N^2)$  additions. Compared to the earlier methods [1, 4, 6], our method improves the computational efficiency significantly (see Table 1).

## 4 Discussion and Conclusion

The geometric moments of 2-D and 3-D objects have been widely used in many image analysis and pattern recognition tasks. However, the computation of the moments requires a large amount of computing operations, especially when a straightforward method is used. In the 2-D case, Li and Shen [5] proposed to

**Table 1.** A comparison of complexity in computing all the moments of order up to  $K$  from a discrete 3-D image of size  $N \times N \times N$ .

Method	Multiplication	Addition
Straightforward	$(\frac{1}{6}K^3 + K^2 + \frac{11}{6}K + 1) N^3$	$(\frac{1}{6}K^3 + K^2 + \frac{11}{6}K + 1) N^3$
Cyganski <i>et al.</i> [1]	$(\frac{1}{2}K^2 - \frac{1}{2}K) N^2$	$(K + 1)N^3 + (\frac{1}{2}K^2 + \frac{7}{2}K + 4) N^2$
Li and Shen [6]	0	$(\frac{1}{2}K^4 + \frac{1}{2}K^3 + 1) N^3$
Li and Ma [4]	$2KN^2$	$N^3 + (K^2 + 2K)N^2$
Ours	$2KN^2$	$(\frac{1}{2}K^2 + \frac{7}{2}K + 3) N^2$

use Green's theorem for fast computation. However, this method is not accurate since the continuous version of Green's theorem is applied to a discrete image directly. Yang and Albrechtsen [9, 10] proposed to use a discrete Green's theorem so that the exact value of a double sum is obtained. Fast computation of 3-D moments have been proposed in a number of previous papers [1, 4, 6]. All these methods require computation of  $O(N^3)$ . Using the discrete Gauss' theorem, we have reduced the complexity to  $O(N^2)$ . The discrete Gauss' theorem improves the computational efficiency and at the same time gives the exact value of a triple sum.

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